

## Motivation

- Zero-shot learning makes it possible to recognize novel classes without seeing any samples.
- Most generative zero-shot learning algorithms are either GAN-based or VAE-based. Both GAN and VAE models require auxiliary networks to assist the training.

## Contribution

- We propose a feature-to-feature translator that maps class-level semantic features as well as Gaussian noise to visual features.
- We propose to learn the translator via alternating back-propagation (ABP) algorithm for maximum likelihood.
- We show that the proposed framework can learn from incomplete training examples where visual features are partially visible.

## Our Proposed Model

- Our model is a conditional latent variable model, which can be formulated as :

$$Z \sim \mathcal{N}(0, I_d),$$

$$X = g_\theta(C, Z) + \epsilon, \epsilon \sim \mathcal{N}(0, \sigma^2 I_D),$$

- We optimize our model by the maximum likelihood estimation (MLE). The gradient of weight  $\theta$  is:

$$\frac{\partial}{\partial \theta} \log p_\theta(X|C) = \frac{1}{p_\theta(X|C)} \frac{\partial}{\partial \theta} p_\theta(X|C)$$

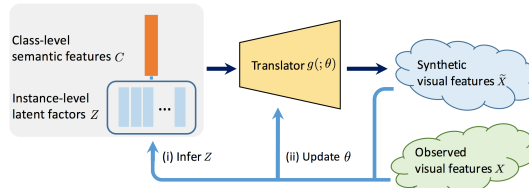
$$= \mathbb{E}_{Z \sim p_\theta(Z|X, C)} \left[ \frac{\partial}{\partial \theta} \log p_\theta(X, Z|C) \right]$$

where the complete data model is:

$$\log p_\theta(X, Z|C) = \log[p_\theta(X|Z, C)p(Z)]$$

$$= -\frac{1}{2\sigma^2} \|X - g_\theta(C, Z)\|^2 - \frac{1}{2} \|Z\|^2 + \text{const},$$

## Alternating Back-Propagation Algorithm



- We iterate two steps, where we back-propagate the gradient of either the latent variable  $Z$  or the weights  $\theta$ .
- (i) Inferential Back-Propagation:** We use Langevin dynamics sampling to compute the analytically intractable posterior distribution. We infer  $Z_i$  for each observed pair  $(X_i, C_i)$ .

$$Z_{\tau+1} = Z_\tau + \frac{s^2}{2} \frac{\partial}{\partial Z} \log p_\theta(X, Z_\tau|C) + sU_\tau$$

- (ii) Learning Back-propagation:** With inferred  $Z_i$ , we learn the model via stochastic gradient ascent.

$$\theta_{t+1} = \theta_t + \gamma_t \frac{\partial}{\partial \theta} L(\theta), \text{ where } \frac{\partial}{\partial \theta} L(\theta) \approx \sum_{i=1}^n \frac{\partial}{\partial \theta} \log p_\theta(X_i, Z_i|C_i)$$

- Both gradients can be efficiently computed by back-propagation.

## Learning from Incomplete Data

- Our model is able to learn from incomplete visual features via alternating back-propagation algorithm by letting latent vector only explain the visible part of the data.
- A slight change in the objective:

$$\|X - g_\theta(C, Z)\|^2 \rightarrow \|M \circ (X - g_\theta(C, Z))\|^2$$

where  $M$  is the given binary indicator matrix with the same size of  $X$ , with 1 indicating "visible" and 0 indicating "missing".

Method	DET*					
	GTA	DET	30%	50%	70%	90%
GAZSL [67]	74.1	72.7	68.5	63.7	55.6	37.7
Ours	76.7	75.2	72.9	71.3	64.8	51.6

Table1: ZSL performance of the models trained on incomplete visual features with different missing ratios.

## Experimental Results

Method	CUB			AwA1			AwA2			SUN		
	$A_{II}$	$A_S$	$H$	$A_{II}$	$A_S$	$H$	$A_{II}$	$A_S$	$H$	$A_{II}$	$A_S$	$H$
DAP [22]	1.7	67.9	3.3	0.0	88.7	0.0	0.0	84.7	0.0	4.2	25.1	7.2
DEVISE [12]	23.8	53.0	32.8	13.4	68.7	22.4	17.1	74.7	27.8	16.9	27.4	20.9
CMT [38]	7.2	49.8	12.6	0.9	87.6	1.8	0.5	90.0	1.0	8.1	21.8	11.8
SJE [2]	23.5	59.2	33.6	11.3	74.6	19.6	8.0	73.9	14.4	14.7	30.5	19.8
LATEM [53]	15.2	57.3	24.0	7.3	71.7	13.3	11.5	77.3	20.0	14.7	28.8	19.5
ESZSL [36]	12.6	63.8	21.0	6.6	75.6	12.1	5.9	77.8	11.0	11.0	27.9	15.8
ALE [11]	23.7	62.8	34.4	16.8	76.1	27.5	14.0	81.8	23.9	21.8	33.1	26.3
SAE [19]	7.8	54.0	13.6	1.8	77.1	3.5	1.1	82.2	2.2	8.8	18.0	11.8
DEM [62]	19.6	57.9	29.2	32.8	84.7	47.3	30.5	86.4	45.1	20.5	34.3	25.6
VZSL [50]	44.9	54.1	49.1	53.4	68.3	59.9	51.7	67.2	58.4	43.5	34.9	38.7
GAZSL [67]	26.5	57.4	36.2	32.8	84.7	47.3	59.9	68.3	53.4	21.7	34.5	26.7
FGZSL [19]	45.9	54.6	49.9	53.1	68.0	59.6	50.2	67.5	57.5	40.2	36.4	38.2
MCGZSL [11]	45.7	61.0	52.3	56.9	64.0	60.2	51.9	67.2	58.6	49.4	33.6	40.0
Ours	47.0	54.8	50.6	57.3	67.1	61.8	55.3	72.6	62.6	45.3	36.8	40.6

Table2: Performance Comparison On Generalized ZSL

Method	CUB	AwA1	AwA2	SUN
DAP [22]	40.0	44.1	46.1	39.9
CMT [38]	34.6	39.5	37.9	39.9
LATEM [53]	49.3	55.1	55.8	55.3
ALE [11]	54.9	59.9	62.5	58.1
DEVISE [12]	52.0	54.2	59.7	56.5
SJE [2]	53.9	65.6	61.9	53.7
ESZSL [36]	53.9	58.2	58.6	54.5
SYNC [5]	55.6	54.0	46.6	56.3
SAE [19]	33.3	53.0	54.1	40.3
DEM [62]	51.7	65.7	66.5	60.8
GFZSL [47]	49.3	68.3	63.8	60.6
VZSL [50]	56.3	67.1	66.8	59.0
GAZSL [67]	55.8	63.7	64.2	60.1
FGZSL [55]	57.7	65.6	66.9	58.6
MCGZSL [11]	58.4	66.8	67.3	60.0
Ours	58.5	69.3	70.4	61.5

Table3: Performance Comparison On ZSL

Figure2: ZSL/GZSL results on ImageNet. For GZSL,  $A_{II}$  is reported.

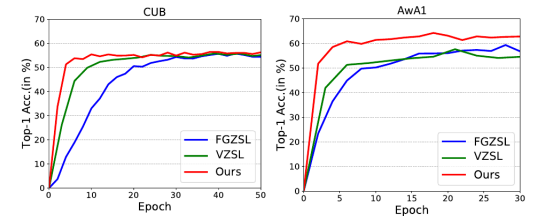


Figure4: Convergence comparison: top-1 accuracies in validation set over epochs

Dataset	# of Parameters	# of Multi-Adds
FGZSL [55]	20.62M	41.23M
VZSL [50]	21.90M	43.78M
Ours	9.71M	19.42M

Table4: Comparison on # of parameters and computational cost (CUB dataset). Code available: [https://github.com/EthanZhu90/ZSL\\_ABP](https://github.com/EthanZhu90/ZSL_ABP)

## Reference:

- GAZSL: Zhu et al. A Generative Adversarial Approach for Zero-Shot Learning from Noisy Texts, CVPR18  
 FGZSL: Xian et al. Feature Generating Networks for Zero-Shot Learning, CVPR18  
 VZSL: Wang et al. Zero-Shot Learning via Class-Conditioned Deep Generative Models, AAAI18